

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

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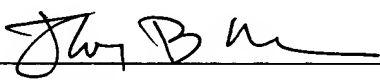
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/706,587	
	Filing Date	November 12, 2003	
	First Named Inventor	Multerer, Alfred	
	Art Unit	3651	
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	91136.000003

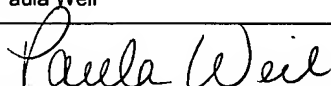
**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Certificate of Mailing; Acknowledgement Postcard</b>
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	THOMAS B. RYAN, REGISTRATION NO. 31,659 HARTER, SECREST & EMERY LLP
Signature	
Date	May 3, 2004

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Type or printed name	Paula Weil		
Signature		Date	May 3, 2004

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### ENCLOSED ARE:

Transmittal Form (1 page);  
Information Disclosure Statement under 37 CFR 37 CFR 1.97(b) (3 pages)  
Information Disclosure Statement by Applicant (72 pages);  
Certificate of Mailing (1page);  
Acknowledgement Postcard (1)

Attorney Docket No. 91136.000003

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Multerer, Alfred	Atty. Docket:	91136.000003
Serial No.:	10/706,587	Examiner:	
Filed:	November 12, 2003	Art Unit:	3651
Title:	TRANSPORTATION DEVICE		

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby submits an Information Disclosure Statement as set forth on the attached forms, PTO/SB/08a and PTO/SB/08b.

The following text provides a concise explanation of relevance for non-English language information by an individual knowledgeable about the content of the information:

1. **Swiss Patent CH 390 978**

Relates to a ski lift with an endless transportation rope running over at least two draw back pulleys one of which is provided at the lower station and the other one at the upper station of the ski lift. These pulleys rotate around an axis which is perpendicular to a base of a supporting frame of the station and are positioned on a level away from the base.

The disclosure particularly differs from the subject matter of the present invention in that the driving wheel and the deflection pulley according to the present invention are arranged substantially vertically.

**2. German laid open publication no. 320 020**

This publication discloses a ski lift with an endless transportation rope running over drawback pulleys. Holding bar devices are connected to the transportation rope running over drawback pulleys. Holding bar devices are connected to the transportation rope and extend to the side thereof. According to the disclosure of DE-OS-320-020 the pulleys are not arranged in a vertical but in a horizontal manner. As discussed above, this is in contrast to the disclosure of the present invention.

**3. German laid open publication no. 1 580 888**

Relates to the drive of a ski lift wherein the driving roller comprises a specific concave profile (Fig. 1, Fig. 2). Fig.3 shows the use of two separate endless transportation ropes wherein the drive unit is arranged as an intermediate station. Accordingly, the disclosure of DE-OS-1 580 888 may be considered as basically corresponding to the state of the art discussed under paragraph [00009] of the application as filed in the US.

**4. Swiss Patent CH 432 574**

Relates to a ski lift, basically corresponding to the ski lifts as discussed above, wherein the drawback pulleys are inclined about a specific angle  $\alpha$ . Thus, this document also does not disclose a substantial vertical arrangement of the driving wheel deflection pulley.

**5. Ausführungsbestimmungen (AB) zu den Vorschriften für den Bau und Betrieb von Schleppaufzügen (BOSchlepp)**

This document comprises the official regulations for the construction and operation of the bar lifts according to which (section 12, regulation 12.5) the

diameters of the driving pulley, the pulley for changing the direction of the rope in the deflecting sheave have to be at least 60 times the diameter of the rope and 600 times the diameter of the wire.

As this Statement is filed before the mailing of the first Office Action, Applicant believes there are no fees due at this time.

Respectfully submitted,



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Thomas B. Ryan, Registration No. 31,659  
HARTER, SECREST & EMERY LLP  
1600 Bausch & Lomb Place  
Rochester, New York 14604  
Telephone: 585-232-6500  
Fax: 585-232-2152

Dated: April 29, 2004



PTO/SB/08a (08-03)

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 1 of 2				<b>Complete if Known</b>	
				Application Number	10/706,587
				Filing Date	November 12, 2003
				First Named Inventor	Multerer, Alfred
				Art Unit	3651
				Examiner Name	
				Attorney Docket Number	91136.000003

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-2,608,935		09-02-1952	Moore et al.	
		US-6,152,044		11-28-2000	Bouvier	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		CH	390978		08-31-1965	Borer et al.		
		CH	432574		09-15-1967	Städeli et al.		
		DE	3033601		04-15-1982	Multerer		
		DE	1 580 888		03-25-1971	Kroh		
		DE	320 020		01-27-1975	Ing. Max Bruckschloggl in Bad Ischl (Oberosterreich)		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/706,587
				Filing Date	November 12, 2003
				First Named Inventor	Multerer, Alfred
				Art Unit	3651
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	91136.000003

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		English language abstract of DE 3033601.	
		VERKEHR UND TECHNOLOGIE, "Ausführungsbestimmungen (AB) zu den Vorschriften für den Bau und Betrieb von Schleppaufzügen (BOSchlepp)." January 2002.	
		MEINGAST SKILIFTE, Borer-Kleinskilifte and Ski-Kindergartenlift skilift systems. <a href="http://www.meingast.at/default_e.htm">http://www.meingast.at/default_e.htm</a> , Germany.	
		MEINGAST SKILIFTE, Swisscord skilift systems. <a href="http://www.meingast.at/default_e.htm">http://www.meingast.at/default_e.htm</a> , Germany.	
		THEMA SCHNEE, Telecorde ski lift system. September-October 2001, number 6, Italy.	
		MULTI SKILIFTBAU GmbH, Multi-Skilift system. Germany and USA.	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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